

**APPENDIX C: DEBARMENT CERTIFICATION**

**DEBARMENT CERTIFICATION  
(Negotiated Contracts)**

- (1) The **WACO MPO** as **CONTRACTOR** certifies to the best of its knowledge and belief that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public\* transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity\* with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions\* terminated for cause or default.
- (2) Where the **CONTRACTOR** is unable to certify to any of the statements in this certification, such **CONTRACTOR** shall attach an explanation to this certification.

*\*federal, state or local*

  
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Jim Holmes / Council Member, District 5, City of Waco  
Waco MPO Policy Board – Chair  
Waco MPO

6/13/2023  
\_\_\_\_\_  
Date

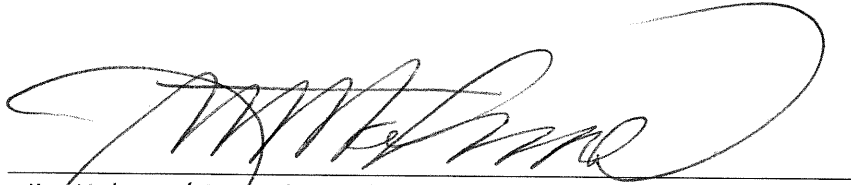
**APPENDIX D: LOBBYING CERTIFICATION**

**CERTIFICATION FOR CONTRACTS, GRANTS,  
LOANS AND COOPERATIVE AGREEMENTS**

The undersigned certifies to the best of his or her knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



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*Jim Holmes / Council Member, District 5, City of Waco  
Waco MPO Policy Board – Chair  
Waco MPO*

6/13/2023  
\_\_\_\_\_  
Date

**APPENDIX E: CERTIFICATION OF COMPLIANCE**


**CERTIFICATION OF COMPLIANCE**

I, Jim Holmes, Council Member, District 5, City of Waco, a duly authorized officer/representative of the Waco MPO, do hereby certify that the contract and procurement procedures that are in effect and used by the forenamed MPO are in compliance with 2 CFR §200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," as it may be revised or superseded.

  
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*Jim Holmes / Council Member, District 5, City of Waco*  
*Waco MPO Policy Board – Chair*  
*Waco MPO*

6/13/2023  
\_\_\_\_\_  
Date

Attest:

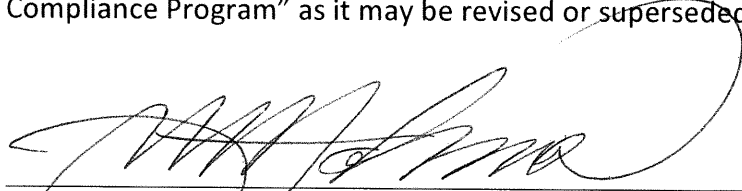
  
\_\_\_\_\_  
Dr. Mukesh Kumar

\_\_\_\_\_  
Director, Waco MPO

**APPENDIX F: CERTIFICATION OF INTERNAL ETHICS AND COMPLIANCE PROGRAM**


**CERTIFICATION OF INTERNAL ETHICS AND COMPLIANCE PROGRAM**

I, Jim Holmes, Council Member, District 5, City of Waco, a duly authorized officer/representative of the Waco MPO, do hereby certify that the forenamed MPO has adopted and does enforce an internal ethics and compliance program that is designed to detect and prevent violations of law, including regulations and ethical standards applicable to this entity or its officers or employees and that the internal ethics and compliance program satisfies the requirements of 43 TAC § 31.39 "Required Internal Ethics and Compliance Program" and 43 TAC § 10.51 "Internal Ethics and Compliance Program" as it may be revised or superseded.

  
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*Jim Holmes / Council Member, District 5, City of Waco*  
*Waco MPO Policy Board – Chair*  
*Waco MPO*

6/13/2023  
\_\_\_\_\_  
Date

Attest:

  
\_\_\_\_\_  
Dr. Mukesh Kumar

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Director, Waco MPO